

# AI Planning

## 19. Dominance Pruning

On States that are Worse than Other States

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# Agenda

- 1 Introduction
- 2 Basics of Dominance Relations
- 3 Simulation Relations
- 4 Compositional Approach
- 5 NOOP Simulation
- 6 Conclusion

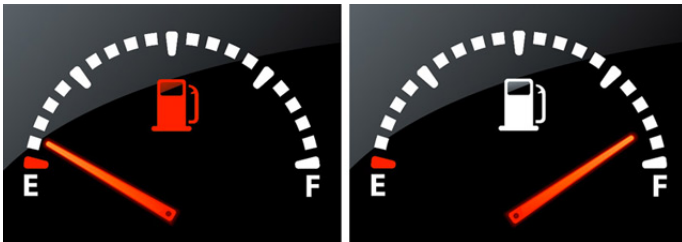
# Introduction

## Reminder:

→ **Chapter 14**

**State Pruning:** Reduces search effort by cross-state comparisons. Prunes states whose exploration can be shown to be unnecessary.

When is a state unnecessary? → By comparing it to another.



# A Non-Original Running Example



- Initial state  $I$ :  
 $t(R), p_1(L), p_2(L), fuel(F3)$ .
- Goal  $G$ :  $p_1(R), p_2(R)$ .
- Actions  $A$ :  
 $move, l_L^i, l_R^i, u_L^i, u_R^i, refuel$ .

## Question!

Intuitively, what do we want to capture here? Which states are “better than” others?

# Our Agenda for This Chapter

- 2 **Basics of Dominance Relations:** Formal definition of dominance based on simulation relations.
- 3 **Simulation Relations:** Formal definition of dominance based on simulation relations.
- 4 **Compositional Approach:** Basic technique to find dominance relations based on a compositional approach.
- 5 **NOOP Simulation:** More elaborate method to find a dominance relation for a given planning task.

# Dominance Relation

**Definition (Relation).** A (binary) relation  $R$  on set  $S$  is a *subset of  $S \times S$* , i.e., *a set of pairs of states*. The interpretation of this subset is that it contains all the pairs for which the relation is true.

**Definition (Dominance Relation).** A *dominance relation* of a transition system  $\Theta$  is a binary relation  $\preceq$  on  $S$  such that  $s \preceq t$  ( $t$  dominates  $s$ ) iff  $t$  is at least as good as  $s$ , i.e.,  $h^*(s) \geq h^*(t)$ .

Properties:

→ **Reflexive:**  $s \preceq s$  for all  $s$ .

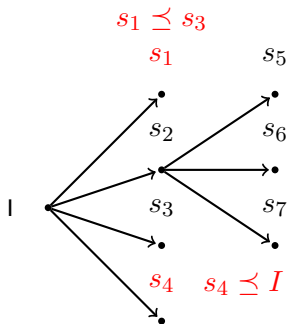
→ **Transitive.**  $s \preceq t$  and  $t \preceq u$  then  $s \preceq u$ .

→ Note that  $h^*(s) \geq h^*(t)$  is talking about real cost, not heuristic. Heuristics are just estimations so  $h(s) \geq h(t)$  does not guarantee anything.

# Dominance Pruning

A **dominance relation** of a transition system  $\Theta$  is a relation on pairs of states  $\preceq: S \otimes S \rightarrow \{F, T\}$  such that  $s \preceq t$  ( $t$  dominates  $s$ ) iff  $t$  is **at least as good as**  $s$ , i.e.,  $h^*(s) \geq h^*(t)$ .

Admissible pruning: If  $g(t) \leq g(s)$  and  $s \preceq t$  then  $s$  can be pruned.



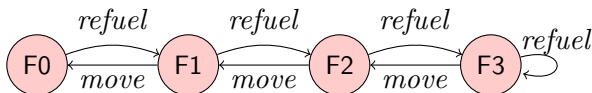
Challenges:

- 1 How to find good dominance relations? → **This Chapter**
- 2 How to efficiently check dominance?

We do not go into detail here. In practice, you can use efficient data-structures to compare against all previously known states (see Remarks).

# Simulation Relation [Milner (1971)]

**Definition (Simulation Relation).** A binary relation  $\preceq \subseteq S \times S$  is a *simulation* for  $\Theta$  if, whenever  $s \preceq t$ , *for every transition  $s \xrightarrow{l} s'$  there exists  $t \xrightarrow{l} t'$  s.t.  $s' \preceq t'$* . We call  $\preceq$  *goal-respecting* for  $\Theta$  if, whenever  $s \preceq t$ ,  $s \in S_G$  *implies that  $t \in S_G$* . A simulation relation  $\preceq$  is the *coarsest* iff for every other simulation relation  $\preceq'$ ,  $\preceq' \subseteq \preceq$ .



What is the coarsest simulation relation?:



# Simulation Relation is a Dominance Relation

**Theorem (Simulation Relations are Dominance Relations).** Let  $\Theta$  be the state of a planning task. Then, if  $\preceq$  is a goal-respecting simulation relation of  $\Theta$ ,  $\preceq$  is a dominance relation.

**Proof.** We need to show that  $s \preceq t$  implies that  $h^*(s) \geq h^*(t)$ . Let  $\pi = \{a_1, \dots, a_k\}$  be an optimal plan for  $s$ . Proof by induction in the length of  $\pi$ .

**Base case,  $|\pi| = 0$ .**  $s$  is a goal-state, therefore  $t$  is also a goal because  $\preceq$  is goal-respecting.

**Inductive case,  $\pi = \{a_1, \dots, a_k\}$ .** Then,  $s \xrightarrow{a_1} s'$ . By simulation, we know that  $t \xrightarrow{a_1} t'$  s.t.  $s' \preceq t'$ . Since the optimal plan for  $s'$  is necessarily shorter than  $\pi$ , we know that  $h^*(s') \geq h^*(t')$ . Thus,  $h^*(s) \geq h^*(t)$ .

In short, if  $\preceq$  is a simulation relation,  $s \preceq t$  implies that **any plan for  $s$  is a valid plan for  $t$ .**

# Coarsest Goal-respecting Simulation

**Theorem (Unique Coarsest Goal-respecting Simulation).** *Let  $\Theta$  be a LTS. Then, a **unique coarsest** goal-respecting simulation **always exists** and can be **computed in time polynomial** in the size of  $\Theta$ .*

## Proof Intuition:

→ Intuition for **existence**: The identity relation is always a simulation relation.

→ Intuition for **uniqueness** of the coarsest simulation: If  $\preceq$  and  $\preceq'$  are simulation relations then  $\preceq \cup \preceq'$  is also a simulation relation.

→ Intuition for **polynomial time**: The definition of simulation is co-inductive and monotonic: **removing elements from  $\preceq$  cannot cause others to be added**. Details for an algorithm in the next section.

# Compute a Simulation Relation

**function** ComputeSimulationRelation ( $\Theta$ )

$\preceq \leftarrow \{(s, t) \text{ such that } t \in S_G \vee s \notin S_G\}$

**while**  $\exists s \preceq t$  s.t.,  $(s \xrightarrow{l} s'$  and  $\nexists t'$  s.t.  $s' \preceq t'$  and  $t \xrightarrow{l} t')$  **do**

$\preceq \leftarrow \preceq \setminus \langle s, t \rangle$

**return**  $\preceq$

**Theorem.** ComputeSimulationRelation computes the coarsest goal-respecting simulation.

**Proof.** It **always terminates** because **at each iteration it removes a pair  $\langle s, t \rangle$  from  $\preceq$ .**

The **result is a simulation** because that **is the termination condition** of the while loop.

The **result is goal-respecting** because  $\preceq$  **is initialized with a goal-respecting** relation and no pair  $\langle s, t \rangle$  is introduced afterwards.

The result is the **coarsest** goal-respecting simulation because we start assuming that every goal-respecting  $s, t$  belongs to the relation. **If  $\langle s, t \rangle$  is removed from  $\preceq$  then it cannot possibly belong to any simulation relation**, i.e., removing pairs cannot help for any state to simulate another.

# Cost-Simulation

**Simulation:** Any plan for  $s$  is also a valid plan for  $t$ :

$$\begin{array}{ccccccc} s & \xrightarrow{a_1} & s' & \xrightarrow{a_2} & \dots & \xrightarrow{a_k} & s_G \\ t & \xrightarrow{a_1} & t' & \xrightarrow{a_2} & \dots & \xrightarrow{a_k} & t_G \end{array}$$

We do not care that the same plan applies, just about its cost.

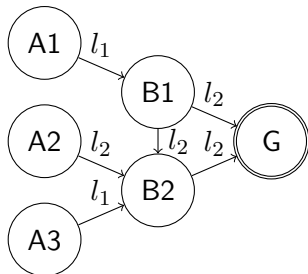
**Definition (Cost-Simulation Relation).** A relation  $\preceq \subseteq S \times S$  is a *cost-simulation* for  $\Theta$  if, whenever  $s \preceq t$ ,  $s \in S_G$  implies that  $t \in S_G$ , and for every transition  $s \xrightarrow{l} s'$  there exists  $t \xrightarrow{l'} t'$  s.t.  $s' \preceq t'$  and  $c(l') \leq c(l)$ .

**Cost-simulation** For any plan for  $s$  there is one for  $t$

**Theorem** A cost-simulation is always a dominance relation.

**Proof** The same proof as for simulation relation applies!

# Questionnaire



## Question!

Which of the following hold in the coarsest simulation relation?

(A):  $B_2 \preceq G$

(B):  $B_1 \preceq B_2$

(C):  $A_2 \preceq A_3$

(D):  $A_3 \preceq A_1$

# Compositional Approach

**Problem:** Computing a simulation relation is polynomial in the size of  $\Theta$  but this is **exponential in the size of our planning task!**

Compositional approach:

- Consider a partition of the problem:  $\Theta_1, \dots, \Theta_k$ .
- Compute a simulation relation in each partition:  $\preceq_1, \dots, \preceq_k$
- A state dominates another iff it dominates in every aspect:  
 $s \preceq t$  iff  $s_i \preceq_i t_i$  for all  $i$ .

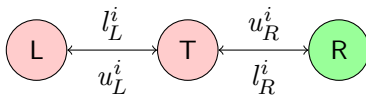
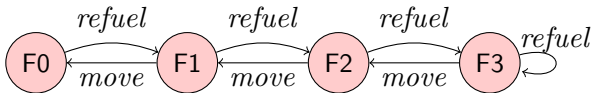
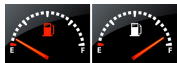
**Definition (Partition of the problem).** Let  $\Pi$  be an FDR planning task and  $\mathcal{T} = \{\Theta_1, \dots, \Theta_k\}$  a set of LTSs.  $\mathcal{T}$  is a **partition of  $\Pi$**  iff  $\Theta_1 \otimes \dots \otimes \Theta_k = \Theta_\Pi$ .

For example, the atomic projections are a partition of the planning task. In general, **the partition can be the projections of the planning task onto a partition of the variables (cf. Chapters 12 and 13).**

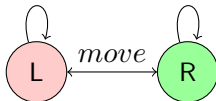
# Computation of Compositional Approach



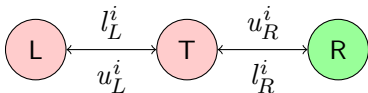
- Initial state  $I$ :  
 $t(R), p_1(L), p_2(L), fuel(F3)$ .
- Goal  $G$ :  $p_1(R), p_2(R)$ .
- Actions  $A$ :  $move, l_L^i, l_R^i, u_L^i, u_R^i$ .



$$\forall i \ l_L^i, u_L^i \quad \forall i \ l_R^i, u_R^i$$



# Computing Simulation Relations: Example Package



## Question!

Compute the coarsest goal-respecting simulation relation on the package partition above. Do the following hold?

(A):  $L \preceq T$

(B):  $T \preceq L$

(C):  $T \preceq R$

(D):  $L \preceq R$



# Combining the Partitions



- Initial state  $I$ :  
 $t(R), p_1(L), p_2(L), fuel(F3)$ .
- Goal  $G$ :  $p_1(R), p_2(R)$ .
- Actions  $A$ :  $move, l_L^i, l_R^i, u_L^i, u_R^i$ .



$$F0 \preceq_f F1 \preceq_f F2 \preceq_f F3$$



$$\preceq_t, \preceq_{p1}, \preceq_{p2} = \text{Identity}$$

A state dominates another iff it dominates in every aspect:

$$s \preceq t \text{ iff } s_i \preceq_i t_i \text{ for all } i.$$

For example:

- $LTR2 \preceq LTR3$  because  $L \preceq_{p1} L, T \preceq_{p2} T, R \preceq_t R$ , and  $2 \preceq_f 3$ .
- $LLL3 \not\preceq LLR3$  because  $L \not\preceq_t R$ .

# Compositional Approach Produces a Simulation

**Theorem (Compositional Approach).** Let  $\Pi$  be an FDR planning task and  $\mathcal{T} = \{\Theta_1, \dots, \Theta_k\}$  a partition of  $\Pi$ . Let  $\{\preceq_1, \dots, \preceq_k\}$  be a set of relations s.t.  $\preceq_i$  is a simulation of  $\Theta_i$ . Define  $\preceq$  as a relation on  $\Theta$  s.t.  $s \preceq t$  iff  $s_i \preceq_i t_i$  for all  $\Theta_i$ . Then,  $\preceq$  is a simulation of  $\Theta_\Pi$ .

**Proof Sketch (for reference).** We show the claim for the case with two parts  $\{\Theta_1, \Theta_2\}$  and induction takes care of the rest.

$\preceq_{12} = \{s_1 s_2, t_1 t_2 \text{ s.t. } s_1 \preceq_1 t_1, s_2 \preceq_2 t_2\}$  is a simulation of  $\Theta_{12} = \Theta_1 \otimes \Theta_2$  because for any  $s_1 s_2 \preceq_{12} t_1 t_2$  and  $s_1 s_2 \xrightarrow{l} s'_1 s'_2$ , exists  $t_1 t_2 \xrightarrow{l} t'_1 t'_2$  where  $s'_1 s'_2 \preceq_{12} t'_1 t'_2$ . Since a transition  $s_1 s_2 \xrightarrow{l} s'_1 s'_2$  exists in  $\Theta_{12}$ , by the definition of synchronized product (cf. Chapter 13),  $s_1 \xrightarrow{l} s'_1$  exists in  $\Theta_1$  and  $s_2 \xrightarrow{l} s'_2$  exists in  $\Theta_2$ .

By the definition of  $\preceq_{12}$ ,  $s_1 s_2 \preceq_{12} t_1 t_2$  implies that  $s_1 \preceq_1 t_1$  and  $s_2 \preceq_2 t_2$ . Since  $\preceq_1$  is a simulation,  $s_1 \preceq_1 t_1$  and  $s_1 \xrightarrow{l} s'_1$  then exists a transition  $t_1 \xrightarrow{l} t'_1$  with  $s'_1 \preceq_1 t'_1$  (and  $t_2 \xrightarrow{l} t'_2$  for similar reasons).

Then, because of the definition of synchronized product, since  $t_1 \xrightarrow{l} t'_1$  in  $\Theta_1$  and  $t_2 \xrightarrow{l} t'_2$  in  $\Theta_2$  use the same label, exists  $t_1 t_2 \xrightarrow{l} t'_1 t'_2$  in  $\Theta_{12}$ .  $s'_1 s'_2 \preceq_{12} t'_1 t'_2$  since  $s'_1 \preceq_1 t'_1$  and  $s'_2 \preceq_2 t'_2$ .

→Note that a cost-simulation in every partition does not produce a cost-simulation of the entire state space because  $t_1 \xrightarrow{l} t'_1$  and  $t_2 \xrightarrow{t'_2}$  must use the same label.

# Recap

We want to compute a dominance relation for our planning task,  $\Pi$ .

We followed a compositional approach:

- Consider a partition of the problem:  $\Theta_1, \dots, \Theta_k$ .
- Compute a **simulation relation in each partition**:  $\preceq_1, \dots, \preceq_k$
- A state dominates another iff it dominates in every aspect:  
 $s \preceq t$  iff  $s_i \preceq_i t_i$  for all  $i$ .
- $\preceq$  is a **simulation relation** of  $\Theta$

**Problem:** Simulation relations are too restrictive:

$s \preceq t$  implies that any plan for  $s$  is a valid plan for  $t$ .

All we need for dominance is  $h^*(s) \geq h^*(t)$  but the plans for  $s$  and  $t$  could be completely different!

# Using Label Equivalence

**Cost-simulation** For any plan for  $s$  there is

**Definition (Label Equivalence).** Labels  $l$  and  $l'$  are equivalent in  $\Theta$  iff  $c(l) = c(l')$  and they have the same transitions:

$$s \xrightarrow{l} s' \in \Theta \Leftrightarrow s \xrightarrow{l'} s' \in \Theta$$

→ When computing simulation for  $\Theta_i$ , labels that are equivalent in all other transition systems are interchangeable. The result is still a cost-simulation.

→ In our simplified example, we used “refuel” as a label for different actions ( $refuel_{i,j}$  for  $(i,j) \in \{(0,1), (1,2), (2,3), (3,3)\}$ ). All those labels are equivalent in all variables except fuel.

# Cost-Simulation with NOOP

**Cost-simulation** For any plan for  $s$  there is

**Definition (NOOP).** Let  $\Pi$  be an FDR planning task. We define  $\Pi_{noop}$  as  $\Pi$  plus a **NOOP action**, of cost 0, empty preconditions and effects.

→The **NOOP** action induces a 0-cost self-loop transition in every state of the state space:  $s \xrightarrow{noop} s$ , but preserves  $h^*(s)$  for all  $s$ .

**Cost-simulation with NOOP:** For any plan for  $s$  there is one for  $t$  that

**Theorem** A cost-simulation on  $\Theta_{noop}$  is a dominance relation for  $\Theta$ .

# NOOP Dominance

We need to capture **when a label is important** in the rest of the problem:

- Refuel is only important for the fuel variable.
- Loading/Unloading a package is only important for the package variable.

**Definition (NOOP Dominance).** Label  $l$  is dominated by  $noop$  in  $\Theta$  given  $\preceq$  if for every  $s \xrightarrow{l} s' \in \Theta$   $s' \preceq s$ .

In words, if **NOOP dominates label  $l$**  in a partition, it means that  **$l$  is not needed in any path in  $\Theta_j$** . Not doing anything is as good as applying the action!

# NOOP Simulation

## Definition (NOOP Simulation).

A set  $\mathcal{R} = \{\preceq_1, \dots, \preceq_k\}$  of binary relations  $\preceq_i \subseteq S_i \times S_i$  is a **NOOP simulation** for  $\{\Theta^1, \dots, \Theta^k\}$  if, whenever  $s \preceq_i t$ :

- $s \in S_i^G$  implies that  $t \in S_i^G$ , and
- For every  $s \xrightarrow{l} s'$  in  $\Theta^i$ ,  
there **exists**  $t \xrightarrow{l'} t'$  in  $\Theta^i$  s.t.:
  - ①  $s' \preceq_i t'$ ,
  - ② for all  $j \neq i$ ,  $l'$  is equivalent to  $l$  in  $\Theta^j$

or:

- ①  $s' \preceq_i t$ , and
- ② for all  $j \neq i$ , NOOP dominates  $l$  in  $\Theta^j$

→ NOOP simulation extends the definition of goal-respecting simulation by considering at the same time the relations on all partitions.

# NOOP Simulation: Theoretical Results

**Theorem** A coarsest label-dominance simulation *always exists* and can be computed in *polynomial time*.

**function** ComputeNOOPSimulation  $(\Theta_1, \dots, \Theta_k)$

For all  $i \in [1, \dots, k]$ , set  $\preceq_i := \{(s, t) \mid s, t \in S_i, s \notin S_G^i \text{ or } t \in S_G^i\}$

**while** exists  $(i, s, t)$  s.t. not **Ok** $(i, s, t)$  **do**

    Select one such triple  $(i, s, t)$

    Set  $\preceq_i := \preceq_i \setminus \{(s, t)\}$

**return**  $\mathcal{R} := \{\preceq_1, \dots, \preceq_k\}$

**Theorem** Combination of  $\{\preceq_1, \dots, \preceq_k\}$  is a *cost-simulation* for the planning task  $\Theta_1 \otimes \dots \otimes \Theta_k$

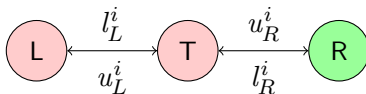
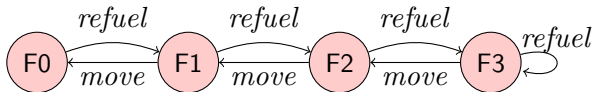
**Proof intuition** Like the proof for the composition of simulation relations but using different labels. NOOP dominance ensures that the transition exists in the final transition system.



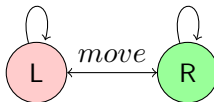
# Computation of NOOP Simulation



- Initial state  $I$ :  
 $t(R), p_1(L), p_2(L), fuel(F3)$ .
- Goal  $G$ :  $p_1(R), p_2(R)$ .
- Actions  $A$ :  
 $move, l_L^i, l_R^i, u_L^i, u_R^i, refuel$ .



$$\forall i \ l_L^i, u_L^i \quad \forall i \ l_R^i, u_R^i$$



# Summary

- A **dominance relation** of a transition system  $\Theta$  is a relation on pairs of states  $\preceq: S \otimes S \rightarrow \{F, T\}$  such that  $s \preceq t$  ( $t$  dominates  $s$ ) iff  $t$  is **at least as good as**  $s$ , i.e.,  $h^*(s) \geq h^*(t)$ .
- A binary relation  $\preceq \subseteq S \times S$  is a **goal-respecting simulation** for  $\Theta$  if, whenever  $s \preceq t$ , **for every transition**  $s \xrightarrow{l} s'$  **there exists**  $t \xrightarrow{l} t'$  **s.t.**  $s' \preceq t'$  and  $s \in S_G$  implies that  $t \in S_G$ .
- **Compositional approach**:
  - Consider a partition of the problem:  $\Theta_1, \dots, \Theta_k$ .
  - Compute a **simulation relation in each partition**:  $\preceq_1, \dots, \preceq_k$
  - A state dominates another iff it dominates in every aspect:  
 $s \preceq t$  iff  $s_i \preceq_i t_i$  for all  $i$ .
- **NOOP Simulation**: Uses label dominance and computes  $\preceq_i$  simultaneously in all partitions in order to find **coarser relations**.

# Remarks

The **simulation relation** algorithm that we see in the lecture is just a simple algorithm for illustration purposes. In the model-checking literature there are more elaborate algorithms with **lower computational complexity** [Henzinger *et al.* (1995); Gentilini *et al.* (2003); Cécé (2013)].

There are multiple ways of implementing the **check of whether given a state  $s$  there exists  $t$  in open or closed such that  $s \preceq t$** . A way to do that is to use efficient data structures to represent the sets of dominated states with a given  $g$ . **Binary Decision Diagrams (BDDs)** [Bryant (1986)] are an efficient data structure for these purposes.

→For the programming exercises, one should just compare each state against its parent, and prune any children dominated by their parent.

## Remarks: Generalized Version

**Definition (Label Dominance).** Label  $l'$  dominates  $l$  in  $\Theta$  given  $\preceq$  if for every  $s \xrightarrow{l} s' \in \Theta$  there exists  $s \xrightarrow{l'} t'$  s.t.  $s' \preceq t'$ .

In words, if label  $l'$  dominates label  $l$  in a partition, it means that anything we can do with  $l$ , we can do with  $l'$ .

**Definition (Label-Dominance Simulation).**

A set  $\mathcal{R} = \{\preceq_1, \dots, \preceq_k\}$  of binary relations  $\preceq_i \subseteq S_i \times S_i$  is a label-dominance simulation for  $\{\Theta^1, \dots, \Theta^k\}$  if, whenever  $s \preceq_i t$ :

- $s \in S_i^G$  implies that  $t \in S_i^G$
- For every  $s \xrightarrow{l} s'$  in  $\Theta^i$ , there exists  $t \xrightarrow{l'} t'$  in  $\Theta^i$  s.t.:
  - ①  $s' \preceq_i t'$ ,
  - ②  $c(l') \leq c(l)$ , and
  - ③ for all  $j \neq i$ ,  $l'$  dominates  $l$  in  $\Theta^j$  given  $\preceq_j$

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