

# AI Planning

## 8. Critical Path Heuristics

It's a Long Way to the Goal, But How Long Exactly?  
Part I: *Honing In On the Most Critical Subgoals*

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## We Need Heuristic Functions!

→ Critical path heuristics are a method to relax planning tasks, and thus automatically compute heuristic functions  $h$ .

We cover the 4 different methods currently known:

- Critical path heuristics: → **This Chapter**
- Delete relaxation: → **Chapters 9 and 10**
- Abstractions: → **Chapters 11-13**
- Landmarks: → **Chapter 14**
- LP Heuristics: → **Chapter 16**

→ Each of these have advantages and disadvantages. (We will do a formal comparison in **Chapter 17**.)

## Agenda

- 1 Introduction
- 2 Critical Path Heuristics
- 3 Dynamic Programming Computation
- 4 Graphplan Representation [for Reference]
- 5 What about FDR Planning?
- 6 Conclusion

## Critical Path Heuristics: Basic Idea



"Approximate the cost of a goal set by the most costly subgoal."

Assume unit costs. Then  $h(I)$  is? 2 (Perth or Darwin).

**But:** In "the most costly subgoal", we may use size  $> 1$ !

→ It is easiest to understand this approximation in terms of approximate versions of an equation characterizing  $h^*$  by regression.

## Our Agenda for This Chapter

- 2 **Critical Path Heuristics:** Introduces and illustrates the formal definition.
- 3 **Dynamic Programming Computation:** The straightforward method to compute critical path heuristics.
- 4 **Graphplan Representation:** A slightly less straightforward method to compute critical path heuristics. I mention this here only because, historically, it was there first, and its terminology is all over the planning literature.
- 5 **What about FDR Planning?** The above uses STRIPS as this is a little easier to discuss in the examples. In this section, we point out on 1 slide that (almost) everything remains exactly the same for FDR.

## Critical Path Heuristics: $h^1$

**Definition ( $h^1$ ).** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. The **critical path heuristic**  $h^1$  for  $\Pi$  is the function  $h^1(s) := h^1(s, G)$  where  $h^1(s, g)$  is the point-wise greatest function that satisfies  $h^1(s, g) =$

$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + h^1(s, \text{regr}(g, a)) & |g| = 1 \\ \max_{g' \in g} h^1(s, \{g'\}) & |g| > 1 \end{cases}$$

→ For singleton subgoals  $g$ , use regression as in  $r^*$ . For subgoal sets  $g$ , use the cost of the most costly singleton subgoal  $g' \in g$ .

→ "**Path**" =  $g_1 \xrightarrow{a_1} g_2 \dots g_{n-1} \xrightarrow{a_{n-1}} g_n$  where  $g_1 \subseteq s$ ,  $g_n \subseteq G$ ,  $g_i \neq g_j$ , and  $g_i \subseteq \text{regr}(g_{i+1}, a_i)$ .  $|g_i| = 1$  here,  $|g_i| \leq m$  for  $h^m$  (up next).

→ "**Critical path**" = **Cheapest** path through the most costly subgoals  $g_i$ .

## A Regression-Based Characterization of $h^*$

**Definition ( $r^*$ ).** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. The **perfect regression heuristic**  $r^*$  for  $\Pi$  is the function  $r^*(s) := r^*(s, G)$  where  $r^*(s, g)$  is the point-wise greatest function<sup>1</sup> that satisfies  $r^*(s, g) =$

$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + r^*(s, \text{regr}(g, a)) & \text{otherwise} \end{cases}$$

(Reminder **Chapter 6**:  $\text{regr}(g, a) \neq \perp$  if  $\text{add}_a \cap g \neq \emptyset$  and  $\text{del}_a \cap g = \emptyset$ ; then,  $\text{regr}(g, a) = (g \setminus \text{add}_a) \cup \text{pre}_a$ .)

→ The cost of achieving a subgoal  $g$  is 0 if it is true in  $s$ ; else, it is the minimum of using any action  $a$  to achieve  $g$ .

**Proposition.** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. Then  $r^* = h^*$ . (Proof omitted.)

<sup>1</sup> "point-wise greatest" is needed here, and in the following, only to correctly handle 0-cost actions. We might bother you with an **Exercise** on this.

## The $h^1$ Heuristic in "TSP" in Australia



- $P$ :  $at(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ;  $v(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .
  - $A$ :  $drive(x, y)$  where  $x, y$  have a road.
- $$c(drive(x, y)) = \begin{cases} 1 & \{x, y\} = \{Sy, Br\} \\ 1.5 & \{x, y\} = \{Sy, Ad\} \\ 3.5 & \{x, y\} = \{Ad, Pe\} \\ 4 & \{x, y\} = \{Ad, Da\} \end{cases}$$
- $I$ :  $at(Sy), v(Sy)$ ;  $G$ :  $at(Sy), v(x)$  for all  $x$ .

- $h^1(I) = h^1(I, G) = h^1(I, \{at(Sy), v(Sy), v(Ad), v(Br), v(Pe), v(Da)\}) = \max(h^1(I, \{at(Sy)\}), \dots, h^1(I, \{v(Da)\}))$ .
- $h^1(I, \{at(Sy)\}) = h^1(I, \{v(Sy)\}) = 0$ .
- $h^1(I, \{v(Da)\}) = 4 + h^1(I, \text{regr}(\{v(Da)\}, drive(Ad, Da))) = 4 + h^1(I, \{at(Ad)\})$ .
- $h^1(I, \{at(Ad)\}) = \min(3.5 + h^1(I, \{at(Pe)\}), 4 + h^1(I, \{at(Da)\}), 1.5 + h^1(I, \{at(Sy)\})) = 1.5$ .
- So  $h^1(I, \{v(Da)\}) = 5.5$ . Further,  $h^1(I, \{v(Pe)\}) = 5$  and  $h^1(I, \{v(Br)\}) = 1$ , hence  $h^1(I) = 5.5$ .
- The critical path is?  $at(Sy) \xrightarrow{drive(Sy, Ad)} at(Ad) \xrightarrow{drive(Ad, Da)} at(Da)$ .

## Critical Path Heuristics: The General Case

**Definition ( $h^m$ ).** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$ . The **critical path heuristic  $h^m$**  for  $\Pi$  is the function  $h^m(s) := h^m(s, G)$  where  $h^m(s, g)$  is the point-wise greatest function that satisfies  $h^m(s, g) =$

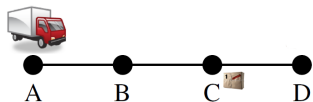
$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + h^m(s, \text{regr}(g, a)) & |g| \leq m \\ \max_{g' \subseteq g, |g'|=m} h^m(s, g') & |g| > m \end{cases}$$

→ For subgoal sets  $|g| \leq m$ , use regression as in  $r^*$ . For subgoal sets  $|g| > m$ , use the cost of the most costly  $m$ -subset  $g'$ .

→ Like  $h^1$ , basically just replace “1” with “ $m$ ”.

→ For fixed  $m$ ,  $h^m(s, g)$  can be computed in time polynomial in the size of  $\Pi$ . (See next section.)

## Questionnaire



- Initial state  $I: t(A), p(C)$ .
- Goal  $G: t(D), p(B)$ .
- Actions  $A: drXY, loX, ulX$ .

### Question!

In this planning task, what is the value of  $h^1(I)$ ?

- (A): 2 (B): 3  
(C): 4 (D): 5

→ A critical path is  $t(A) \rightarrow t(B) \rightarrow t(C) \rightarrow p(T) \rightarrow p(D)$ . (C) is correct.

### Question!

In this planning task, what is the value of  $h^2(I)$ ?

- (A): 5 (B): 8

→ For all subgoals  $g$  generated, either  $|g| \leq 2$ , or  $g$  must request more than one position for either the truck or the package, which in this domain will be recognized i.e.  $h^2(I, g) = \infty$ . Thus  $h^2(I) = r^*(I)$  and (B) is correct.

## Critical Path Heuristics: Properties

**Proposition ( $h^m$  is Admissible).**  $h^m$  is consistent and goal-aware, and thus also admissible and safe.

**Proof Sketch.** Goal-awareness is obvious. We need to prove that  $h^m(s) \leq h^m(s') + c(a)$  for all transitions  $s \xrightarrow{a} s'$ . Since  $s \supseteq \text{regr}(s', a)$ , a critical path  $\vec{p}$  for  $h^m(s')$  can be pre-fixed by  $a$  to obtain an upper bound on  $h^m(s)$ : all subgoals at the start of  $\vec{p}$  are contained in  $s'$ , and are achieved by  $a$  in  $s$ .

→ Intuition:  $h^m$  is admissible because it is always more difficult to achieve larger subgoals (so  $m$ -subsets can only be cheaper).

→ Any ideas about what happens when we compare  $h^{m+1}$  to  $h^m$ ?

**Proposition ( $h^m$  gets more accurate as  $m$  grows).**  $h^{m+1}$  dominates  $h^m$ .

**Proof Intuition:** “It is always more difficult to achieve larger subgoals.”

→ Any ideas about what happens when we let  $m$  go to  $\infty$ ?

**Proposition ( $h^m$  is perfect in the limit).** There exists  $m$  s.t.  $h^m = h^*$ .

**Proof.** Setting  $m := |P|$ , the case  $|g| > m$  will never be used, so  $h^m = r^*$ .

## Overview

### Basic idea:

“Consider all size- $\leq m$  subgoals  $g$ . Initialize  $h^m(s, g)$  to 0 if  $g \subseteq s$ , and to  $\infty$  otherwise.

Then keep updating the value of each  $g$  based on actions applied to the values computed so far, until the values converge.”

- We start with an **iterative** definition of  $h^m$  that makes this approach explicit.
- We define a dynamic programming algorithm that corresponds to this iterative definition.
- We point out the relation to general fixed point mechanisms.

## Iterative Definition of $h^m$

**Definition (Iterative  $h^m$ ).** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$ . The *iterative  $h^m$  heuristic*  $h_i^m$  is defined by  $h_0^m(s, g) :=$

$$\begin{cases} 0 & g \subseteq s \\ \infty & \text{otherwise} \end{cases}$$

and  $h_{i+1}^m(s, g) :=$

$$\begin{cases} \min[h_i^m(s, g), \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + h_i^m(s, \text{regr}(g, a))] & |g| \leq m \\ \max_{g' \subseteq g, |g'|=m} h_{i+1}^m(s, g') & |g| > m \end{cases}$$

**Proposition.** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. Then the series  $\{h_i^m\}_{i=0, \dots}$  converges to  $h^m$ .

**Proof Sketch:** (i) Convergence: If  $h_{i+1}^m(s, g) \neq h_i^m(s, g)$ , then  $h_{i+1}^m(s, g) < h_i^m(s, g)$ ; that can happen only finitely often because each decrease is due to a new path for  $g$ . (ii) If  $h_{i+1}^m = h_i^m$  then  $h_i^m$  satisfies the  $h^m$  equation (direct from definition). (iii) No function greater than  $h_i^m$  at any point can satisfy the  $h^m$  equation (easy by induction over  $i$ ).

## Just for the Record: Fixed Point Formulation

### Fixed Point Algorithm – Template!

**new** table  $T^m(g)$ , for  $g \subseteq P$  with  $|g| \leq m$

For all  $g \subseteq P$  with  $|g| \leq m$ :  $T^m(g) := \begin{cases} 0 & g \subseteq s \\ \infty & \text{otherwise} \end{cases}$

**fn**  $Cost(g) := \begin{cases} T^m(g) & |g| \leq m \\ \max_{g' \subseteq g, |g'|=m} T^m(g') & |g| > m \end{cases}$

**fn**  $Next(g) := \min[Cost(g), \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + Cost(\text{regr}(g, a))]$

**while**  $\exists g \subseteq P, |g| \leq m : T^m(g) \neq Next(g)$  **do**:

select one such  $g$   
 $T^m(g) := Next(g)$

**endwhile**

**Proposition.** Once the algorithm stops,  $h^m(s, g) = Cost(g)$  for all  $g$ .

**Proof Sketch:** Similar to that for convergence of  $h_i^m$  to  $h^m$ .

→ This algorithm is not fully specified (hence “template”): How to select  $g$  s.t.  $T^m(g) \neq Next(g)$ ? We will use dynamic programming for simplicity.

## Dynamic Programming

### Dynamic Programming Algorithm

**new** table  $T_0^m(g)$ , for  $g \subseteq P$  with  $|g| \leq m$

For all  $g \subseteq P$  with  $|g| \leq m$ :  $T_0^m(g) := \begin{cases} 0 & g \subseteq s \\ \infty & \text{otherwise} \end{cases}$

**fn**  $Cost_i(g) := \begin{cases} T_i^m(g) & |g| \leq m \\ \max_{g' \subseteq g, |g'|=m} T_i^m(g') & |g| > m \end{cases}$

**fn**  $Next_i(g) := \min[Cost_i(g), \min_{a \in A, \text{regr}(g, a) \neq \perp} c(a) + Cost_i(\text{regr}(g, a))]$   
 $i := 0$

**do forever:**

**new** table  $T_{i+1}^m(g)$ , for  $g \subseteq P$  with  $|g| \leq m$

For all  $g \subseteq P$  with  $|g| \leq m$ :  $T_{i+1}^m(g) := Next_i(g)$

**if**  $T_{i+1}^m = T_i^m$  **then stop** **endif**

$i := i + 1$

**enddo**

**Proposition.**  $h_i^m(s, g) = Cost_i(g)$  for all  $i$  and  $g$ . (Proof is easy.)

→ This is very inefficient! (Optimized for readability.) We can use “Generalized Dijkstra” instead, maintaining the frontier of cheapest  $m$ -tuples reached so far.

## Example: $m = 1$ in “Logistics”



A B C D

- Facts**  $P$ :  $t(x) x \in \{A, B, C, D\}$ ;  
 $p(x) x \in \{A, B, C, D, T\}$ .
- Initial state**  $I$ :  $\{t(A), p(C)\}$ .
- Goal**  $G$ :  $\{t(A), p(D)\}$ .
- Actions**  $A$  (unit costs):  $drive(x, y)$ ,  $load(x)$ ,  $unload(x)$ .  
E.g.:  $load(x)$ : pre  $t(x), p(x)$ ; add  $p(T)$ ; del  $p(x)$ .

**Content of Tables  $T_i^1$ :**

$i$	$t(A)$	$t(B)$	$t(C)$	$t(D)$	$p(T)$	$p(A)$	$p(B)$	$p(C)$	$p(D)$
0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	0	$\infty$
1	0	1	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	0	$\infty$
2	0	1	2	$\infty$	$\infty$	$\infty$	$\infty$	0	$\infty$
3	0	1	2	3	3	$\infty$	$\infty$	0	$\infty$
4	0	1	2	3	3	4	4	0	4
5	0	1	2	3	3	4	4	0	4

→ So  $h^1(I) = 4$ . (Cf. slide 13)

**Note:** This table computation always first finds the *shortest* path to achieve a subgoal  $g$ . Hence, with unit action costs, the value of  $g$  is fixed once it becomes  $< \infty$ , and equals the  $i$  where that happens. With non-unit action costs, neither is true.

## Example: $m = 2$ in “Dompteur”



- $P = \{alive, haveTiger, tamedTiger, haveJump\}$ .  
Short:  $P = \{A, hT, tT, J\}$ .
- Initial state  $I$ :  $alive$ .
- Goal  $G$ :  $alive, haveJump$ .
- Actions  $A$ :  
 $getTiger$ : pre  $alive$ ; add  $haveTiger$   
 $tameTiger$ : pre  $alive, haveTiger$ ; add  $tamedTiger$   
 $jumpTamedTiger$ : pre  $alive, tamedTiger$ ; add  $haveJump$   
 $jumpTiger$ : pre  $alive, haveTiger$ ; add  $haveJump$ ; del  $alive$

Content of Tables  $T_i^2$ :

$i$	$A$	$hT$	$tT$	$J$	$A, hT$	$A, tT$	$A, J$	$hT, tT$	$hT, J$	$tT, J$
0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
1	0	1	$\infty$	$\infty$	1	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
2	0	1	2	2	1	2	$\infty$	2	2	$\infty$
3	0	1	2	2	1	2	3	2	2	3

→ So  $h^2(I) = 3$ , in contrast to  $h^1(I) = 2$ .

**Note reg  $A, J$  in step 2:** Each of  $A$  and  $J$  is reached, but not both together:  $jumpTiger$  deletes  $A$  so we can't regress this subgoal over that action;  $jumpTamedTiger$  yields the regressed subgoal  $\{A, tT\}$  whose value at 1 is  $\infty$ .

## Example: $m = 1$ in “TSP” in Australia



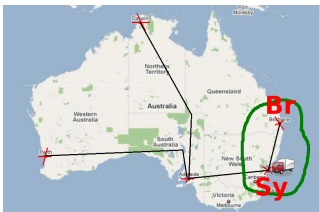
- $P$ :  $at(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ;  $v(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .
  - $A$ :  $drive(x, y)$  where  $x, y$  have a road.
- $$c(drive(x, y)) = \begin{cases} 1 & \{x, y\} = \{Sy, Br\} \\ 1.5 & \{x, y\} = \{Sy, Ad\} \\ 3.5 & \{x, y\} = \{Ad, Pe\} \\ 4 & \{x, y\} = \{Ad, Da\} \end{cases}$$
- $I$ :  $at(Sy), v(Sy)$ ;  $G$ :  $at(Sy), v(x)$  for all  $x$ .

Content of Tables  $T_i^1$ :

$i$	$at(Sy)$	$at(Ad)$	$at(Br)$	$at(Pe)$	$at(Da)$	$v(Sy)$	$v(Ad)$	$v(Br)$	$v(Pe)$	$v(Da)$
0	0	$\infty$	$\infty$	$\infty$	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$
1	0	1.5	1	$\infty$	$\infty$	0	1.5	1	$\infty$	$\infty$
2	0	1.5	1	5	5.5	0	1.5	1	5	5.5
3	0	1.5	1	5	5.5	0	1.5	1	5	5.5

→ So what is  $h^1(I)$ ? 5.5.

## Example: $m = 2$ in Very Simple “TSP” in Australia



- **Facts**  $P$ :  $at(Sy), at(Br), v(Sy), v(Br)$ .
- **Initial state**  $I$ :  $at(Sy), v(Sy)$ .
- **Goal**  $G$ :  $at(Sy), v(Sy), v(Br)$ .
- **Actions**  $A$ :  $drive(Sy, Br), drive(Br, Sy)$ ; both cost 1.  
 $drive(Sy, Br)$ :  
pre  $at(Sy)$ ; add  $at(Br), v(Br)$ ; del  $at(Sy)$ .  
 $drive(Br, Sy)$ :  
pre  $at(Br)$ ; add  $at(Sy), v(Sy)$ ; del  $at(Br)$ .

Content of Tables  $T_i^2$ :

$i$	$at(Sy)$	$at(Br)$	$v(Sy)$	$v(Br)$	$at(Sy), at(Br)$	$at(Sy), v(Sy)$	$at(Sy), v(Br)$	$at(Br), v(Sy)$	$at(Br), v(Br)$	$v(Sy), v(Br)$
0	0	$\infty$	0	$\infty$	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$
1	0	1	0	1	$\infty$	0	$\infty$	1	1	1
2	0	1	0	1	$\infty$	0	2	1	1	1
3	0	1	0	1	$\infty$	0	2	1	1	1

→ So  $h^2(I) = 2$ , in contrast to  $h^1(I) = 1$ .

**NOTE reg  $at(Sy), v(Br)$  in step 1:** Each of  $at(Sy)$  and  $v(Br)$  is reached, but not both together:  $drive(Sy, Br)$  deletes  $at(Sy)$  so we can't regress this subgoal over that action;  $drive(Br, Sy)$  yields the regressed subgoal  $\{at(Br), v(Br)\}$  whose value at iteration 0 is  $\infty$ .

## Runtime

**Proposition.** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$  be fixed. Then the dynamic programming algorithm runs in time polynomial in the size of  $\Pi$ .

**Proof Sketch.** With fixed  $m$ , the number of size- $m$  fact sets is polynomial in the size of  $\Pi$ , so obviously each iteration of the algorithm runs in time polynomial in that size. The fixed point is reached at the latest at  $i + 1 = |P|^m + 1$ , as each path has length at most  $|P|^m$ .

→ For any fixed  $m$ ,  $h^m$  can be computed in polynomial time.

### Remarks:

- In practice, only  $m = 1, 2$  are used; higher values of  $m$  are infeasible.
- However! Instead of considering all “atomic subgoals” of size  $\leq m$ , one can select an arbitrary set  $C$  of atomic subgoals!  
→  $h^C$ , currently investigated in FAI, great results in learning to recognize dead-ends [Steinmetz and Hoffmann (2016)].

## Graphplan Representation: The Case $m = 1$

### 1-Planning Graphs

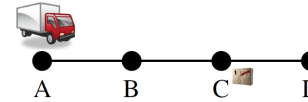
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 $F_0 := s; i := 0$ 
while  $G \not\subseteq F_i$  do
   $A_i := \{a \in A \mid pre_a \subseteq F_i\}$ 
   $F_{i+1} := F_i \cup \bigcup_{a \in A_i} add_a$ 
  if  $F_{i+1} = F_i$  then stop endif
   $i := i + 1$ 
endwhile

```

**Rings a bell?** This was called “relaxed planning graph” in AI’18 Chapter 14. Slide 33 explains why.

## 1-Planning Graph for “Logistics”



- Initial state  $I: t(A), p(C)$ .
- Goal  $G: t(A), p(D)$ .
- Actions  $A: dr(X, Y), lo(X), ul(X)$ .

Content of Fact Sets  $F_i$ :

$i$	$t(A)$	$t(B)$	$t(C)$	$t(D)$	$p(T)$	$p(A)$	$p(B)$	$p(C)$	$p(D)$
0	yes	no	no	no	no	no	no	yes	no
1	yes	yes	no	no	no	no	no	yes	no
2	yes	yes	yes	no	no	no	no	yes	no
3	yes	yes	yes	yes	yes	no	no	yes	no
4	yes	yes	yes	yes	yes	yes	yes	yes	yes
5	yes	yes	yes	yes	yes	yes	yes	yes	yes

→ **Rings a bell?** We got a “yes” for  $i, g$  if and only if  $T_i^1(g) \neq \infty$ , cf. slide 19.

## 1-Planning Graphs vs. $h^1$

**Definition.** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. The **1-planning graph heuristic**  $h_{PG}^1$  for  $\Pi$  is the function  $h_{PG}^1(s) := \min\{i \mid s \subseteq F_i\}$ , where  $F_i$  are the fact sets computed by a 1-planning graph, and the minimum over an empty set is  $\infty$ .

**Proposition.** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task **with unit costs**. Then  $h_{PG}^1 = h^1$ .

**Proof Sketch:** Induction over the value  $i$  of  $h^1(s)$ . Trivial for base case  $i = 0$ . For the step case, assume that  $h_{PG}^1(s) = h^1(s)$  for all  $s$  where  $h^1(s) \leq i$ , and show the same property for all  $s$  with  $h^1(s) \leq i + 1$ .  $h_{PG}^1(s) < i + 1$  directly contradicts the assumption. To show  $h_{PG}^1(s) \leq i + 1$ , it suffices to observe that  $h^1(pre_a) \leq i$  implies  $h_{PG}^1(pre_a) \leq i$  by assumption.

→ Intuition: A 1-planning graph is like our dynamic programming algorithm for  $m = 1$ , except that it represents not all facts but only those that have been reached (value  $\neq \infty$ ), and instead of a fact-value table it only remembers that set.

## Graphplan Representation: The General Case

### $m$ -Planning Graphs

```

 $F_0 := s; M_0 := \emptyset; i := 0$ 
fn  $Reached_i(g) := \begin{cases} \text{True} & g \subseteq F_i, \nexists g' \in M_i : g' \subseteq g \\ \text{False} & \text{otherwise} \end{cases}$ 
while not  $Reached_i(G)$  do
   $A_i := \{a \in A \mid Reached_i(pre_a)\}$ 
   $F_{i+1} := F_i \cup \bigcup_{a \in A_i} add_a$ 
   $M_{i+1} := \{g \subseteq P \mid |g| \leq m, \forall a \in A_i : \text{not } Reached_i(regr(g, a))\}$ 
  if  $F_{i+1} = F_i$  and  $M_{i+1} = M_i$  then stop endif
   $i := i + 1$ 
endwhile

```

→ Intuition: All  $m$ -subsets  $g$  of  $F_i$  are reachable within  $i$  steps, except for those  $g$  listed in  $M_i$  (the “mutexes”).

→ Instead of listing the reached  $m$ -subsets, represent those that are not reached (and hope that there are fewer of those).



## Critical Path Heuristics in FDR

... are *exactly* the same!

→ All definitions, results, and proofs apply, exactly as stated, also to FDR planning tasks. (See the single exception below.)

→ Remember (cf. → **Chapter 2**): We refer to pairs  $(v, d)$  of variable and value as facts. We identify partial variable assignments with sets of facts.

The single non-verbatim-applicable statement, adapted to FDR:

**Proposition ( $h^m$  is Perfect in the Limit).** *There exists  $m$  s.t.  $h^m = h^*$ .*

**Proof.** Given the definition of  $regr(g, a)$  for FDR (→ **Chapter 6**), it is easy to see by induction that every subgoal  $g$  contains at most one fact for each variable  $v \in V$ . Thus, if we set  $m := |V|$ , then the case  $|g| > m$  will never be used, so  $h^m = h^*$ .

→ In FDR, it suffices to set  $m$  to the number of *variables*, as opposed to the number of *variable values* i.e. STRIPS facts, compare slide 12!

## Historical Remarks

- The first critical path heuristic was introduced in the Graphplan system [Blum and Furst (1997)], which uses  $h^2$  computed by a 2-planning graph.<sup>2</sup>
- 1-planning graphs are commonly referred to as **relaxed planning graphs**. This is because they're identical to Graphplan's 2-planning graphs when ignoring the delete lists [Hoffmann and Nebel (2001)].
- Graphplan spawned a huge amount of follow-up work [e.g., Kambhampati *et al.* (1997); Koehler *et al.* (1997); Koehler (1998); Kambhampati (2000)]; in particular, it was my personal "kindergarden planner".
- Nowadays,  $h^m$  is not in wide use anymore; its most prominent application right now is in modified forms that allow to use arbitrary sets of atomic subgoals (see slide 36), or to compute improved delete-relaxation heuristics (→ **Chapter 10**).

<sup>2</sup>Actually, Graphplan does **parallel planning** (a simplistic form of temporal planning), and uses a version of 2-planning graphs reflecting this. I omit the details since parallel planning is not relevant in practice.

## Summary

- The **critical path heuristics**  $h^m$  estimate the cost of reaching a subgoal  $g$  by the most costly  $m$ -subset of  $g$ .
- This is admissible because it is always more difficult to achieve larger subgoals.
- $h^m$  can be computed using **dynamic programming**, i.e., initializing true  $m$ -subsets  $g$  to 0 and false ones to  $\infty$ , then applying value updates until convergence.
- This computation is polynomial in the size of the planning task, given fixed  $m$ . In practice,  $m = 1, 2$  are used;  $m > 2$  is typically infeasible.
- **Planning graphs** correspond to dynamic programming with unit costs, using a particular representation of reached/unreached  $m$ -subsets  $g$ .

## A Technical Remark

### Reminder: Search Space for Progression

- $start() = I$
- $succ(s) = \{(a, s') \mid \Theta_{\Pi} \text{ has the transition } s \xrightarrow{a} s'\}$

→ Need to compute  $h^m(s) = h^m(s, G) \Rightarrow$  **one call of dynamic programming for every different search state  $s$ !**

### Reminder: Search Space for Regression

- $start() = G$
- $succ(g) = \{(a, g') \mid g' = regr(g, a)\}$

→ Need to compute  $h^m(I, g) = \max_{g' \subseteq g, |g'|=m} h^m(I, g') \Rightarrow$  **a single call of dynamic programming, for  $s = I$  before search begins!**

→ For  $m = 1$ , it is feasible to use progression and recompute the cost of the (singleton) subgoals in every search state  $s$ . For  $m = 2$  already, this is completely infeasible; all systems using  $h^2$  do regression search, where all subgoals can be evaluated relative to the dynamic programming outcome for  $I$ .

## Reading

- *Admissible Heuristics for Optimal Planning* [Haslum and Geffner (2000)].

Available at:

<http://www.dtic.upf.edu/~hgeffner/html/reports/admissible.ps>

**Content:** The original paper defining the  $h^m$  heuristic function, and comparing it to the techniques previously used in Graphplan.

- $h^m(P) = h^1(P^m)$ : *Alternative Characterisations of the Generalisation from  $h^{\max}$  to  $h^m$*  [Haslum (2009)].

Available at: <http://users.cecs.anu.edu.au/~patrik/publik/pm4p2.pdf>

**Content:** Shows how to characterize  $h^m$  in terms of  $h^1$  in a compiled planning task that explicitly represents size- $m$  conjunctions.

Relevance here: this contains the only published account of the iterative  $h_i^m$  characterization of  $h^m$ . Relevance more generally: yields an alternative computation of  $h^m$ . This is not per se useful, but variants thereof have been shown to allow the computation of powerful partial-delete-relaxation heuristics (→ **Chapter 10**).

## Reading, ctd.

- *Towards Clause-Learning State Space Search: Learning to Recognize Dead-Ends* [Steinmetz and Hoffmann (2016)].

Available at:

<http://fai.cs.uni-saarland.de/hoffmann/papers/aaai16.pdf>

**Content:** Specifies how to “learn” the atomic subgoals  $C$  based on states  $s$  where the search already knows that  $h^*(s) = \infty$ , yet where  $h^C(s) \neq \infty$ . The learning process adds new conjunctions into  $C$ , in a manner guaranteeing that  $h^C(s) = \infty$  afterwards.

Doing this systematically in a depth-first search, we obtain a framework that approaches the elegance of clause learning in SAT, finding and analyzing conflicts to learn knowledge that generalizes to other search branches.

## Reading, ctd.

- *Explicit Conjunctions w/o Compilation: Computing  $h^{FF}(\Pi^C)$  in Polynomial Time* [Hoffmann and Fickert (2015)].

Available at:

<http://fai.cs.uni-saarland.de/hoffmann/papers/icaps15b.pdf>

**Content:** Introduces the  $h^C$  heuristic (cf. slide 23), which allows to select an arbitrary set  $C$  of atomic subgoals, and thus strictly generalizes  $h^m$ .

This is only a side note in the paper though, the actual concern is with defining and computing partial-delete-relaxation heuristics on top of  $h^C$ .

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