

AI Planning

4. Applications

A Few Problems We Can Solve (and Which Some People Care About)

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Thanks to Prof. Jörg Hoffmann for slide sources

Motivation

... well, does anybody need to be motivated?

→ I'm presuming that "Applications" sounds better than "The expressive power of merge-and-shrink abstractions" ...

Applications are important:

- Validate research ideas and techniques.
- Source of new research problems to consider.
- Source of useful benchmark examples to evaluate algorithms.

→ **FAI BSc/MSc/HiWi Jobs:** All three application areas here are major ongoing/future research efforts in FAI.

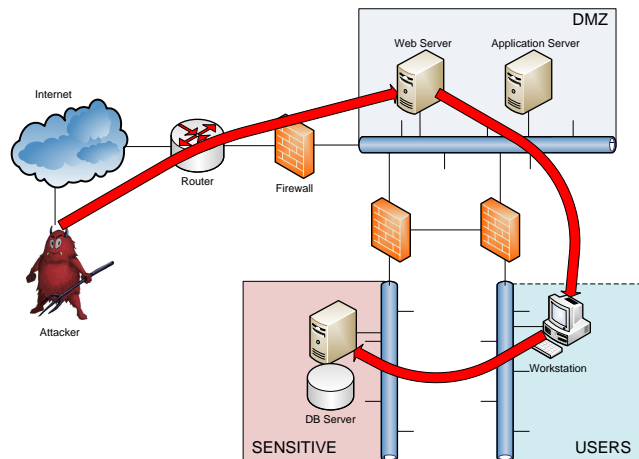
Agenda

- 1 Introduction
- 2 Simulated Penetration Testing
- 3 Natural Language Generation
- 4 Modular Printing System Control
- 5 Conclusion

Our Agenda for This Chapter

- 2 **Simulated Penetration Testing:** Simulating hackers (well, simple versions thereof) for automated network security testing.
- 3 **Natural Language Generation:** Turning a language grammar and an intended meaning into a sentence.
- 4 **Modular Printing System Control:** How to control all printers that could possibly be built.

Network Hacking



Motivation for Automation

Penetration Testing (Pentesting)

Pentesting

Actively verifying network defenses by conducting an intrusion in the same way an **attacker** would.

- Well-established industry (roots back to the 60s).
- Points out **specific dangerous attacks** (as opposed to vulnerability scanners).
- **Pentesting tools** sold by security companies, like **Core Security**.
→ **Core IMPACT** (since 2001); Immunity Canvas (since 2002); Metasploit (since 2003).
- Run security checks **launching exploits**.
- Core IMPACT uses **FF** (cf. **Chapter 9**) for automation since 2010.

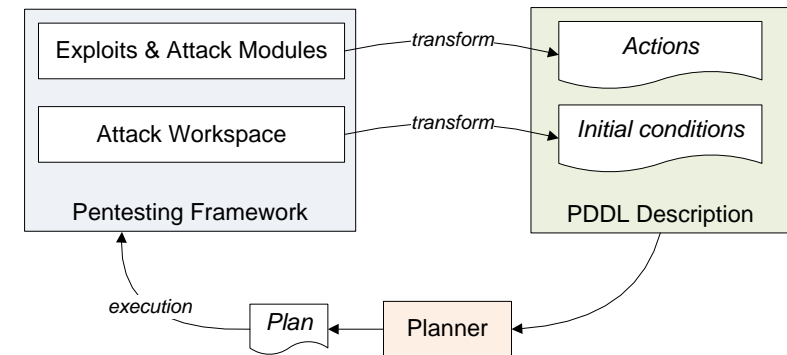
Motivation for Automation: Wrap-Up

Simulated penetration testing serves to:

- **Reduce human labor.**
- **Increase testing coverage:**
 - Higher testing frequency.
 - Broader tests trying more possibilities.
- **Deal with the dynamics of pentesting:**
 - More exploits.
 - New tools used in attacks (Client-Side, WiFi, WebApps, ...).

→ The aim is to automate pentesting, so that the attacks can continuously be run in the background, thus decreasing human labor while allowing broad coverage of complex attack possibilities.

Core IMPACT system architecture:



→ In practice, the attack plans are being used to **point out to the security team where to look**.

Object Types:

network	operating_system
host	OS_version
port	OS_edition
port_set	OS_build
application	OS_servicepack
agent	OS_distro
privileges	kernel_version

Predicates expressing connectivity:

```

(connected_to_network ?s - host ?n - network)
(IP_connectivity ?s - host ?t - host)
(TCP_connectivity ?s - host ?t - host ?p - port)
(TCP_listen_port ?h - host ?p - port)
(UDP_listen_port ?h - host ?p - port)
  
```

Predicates expressing configurations:

```
(has_OS ?h - host ?os - operating_system)
(has_OS_version ?h - host ?osv - OS_version)
(has_OS_edition ?h - host ?ose - OS_edition)
(has_OS_build ?h - host ?osb - OS_build)
(has_OS_servicepack ?h - host ?ossp - OS_servicepack)
(has_OS_distro ?h - host ?osd - OS_distro)
(has_kernel_version ?h - host ?kv - kernel_version)
(has_architecture ?h - host ?a - OS_architecture)
(has_application ?h - host ?p - application)
```

Actions allowing to reap benefits of exploits:

```
(:action Mark_as_compromised
:parameters (?a - agent ?h - host)
:precondition (installed ?a ?h)
:effect (compromised ?h)
)

(:action IP_connect
:parameters (?s - host ?t - host)
:precondition (and (compromised ?s)
  (exists (?n - network)
    (and (connected_to_network ?s ?n)
      (connected_to_network ?t ?n))))
:effect (IP_connectivity ?s ?t)
)
```

Actions modeling exploits:

```
(:action HP_OpenView_Remote_Buffer_Overflow_Exploit
:parameters (?s - host ?t - host)
:precondition (and (compromised ?s)
  (and (has_OS ?t Windows)
    (has_OS_edition ?t Professional)
    (has_OS_servicepack ?t Sp2)
    (has_OS_version ?t WinXp)
    (has_architecture ?t I386))
  (has_service ?t ovtrcd)
  (TCP_connectivity ?s ?t port5053)
)
:effect (and (installed_agent ?t high_privileges)
  (increase (time) 10)
))
```

An attack plan:

```
0: Mark_as_compromised localagent localhost
1: IP_connect localhost 10.0.1.1
2: TCP_connect localhost 10.0.1.1 port80
3: Phpmyadmin Server_databases Remote Code Execution
  localhost 10.0.1.1
4: Mark_as_compromised 10.0.1.1 high_privileges
...
14: Mark_as_compromised 10.0.4.2 high_privileges
15: IP_connect 10.0.4.2 10.0.5.12
16: TCP_connect 10.0.4.2 10.0.5.12 port445
17: Novell Client NetIdentity Agent Buffer Overflow
  10.0.4.2 10.0.5.12
18: Mark_as_compromised 10.0.5.12 high_privileges
```


NLG as Planning, Remarks

Historical:

- Long-standing historical connection between NLG and Planning (first mentioned in early 80s).
- Resurrected in 2007, after long silence, **thanks to efficiency of heuristic search planners like FF** [Hoffmann and Nebel (2001)] → **Chapter 9**.
- Encoding below proposed by [Koller and Stone (2007)].
- **Used here in SB at M2CI**. (See the “Video Documentary” at <http://www.mmci.uni-saarland.de/en/start>, min. 1:38 – 1:46)

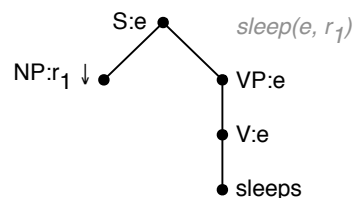
Main advantages of planning in this application:

- Rapid development (try to develop a language generator yourself ...).
- Flexibility (grammar/knowledge changes handled automatically).

NLG with TAG: Example

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.



- “S:e” stands for sentence referring to event e .
- “NP: $r_1 \downarrow$ ” stands for a noun phrase referring to r_1 , which **must** be **substituted** here.
- [“VP:e” and “V:e” stand for a verb phrase referring to e , and **can** be used to **adjoin** further trees (not detailed here).]

NLG with TAG

NLG in General:

- Given semantic representation (formula) and grammar, compute sentence that expresses this semantics.
- Standard problem in natural language processing, many different approaches exist.

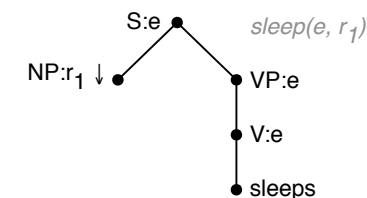
NLG here:

- NLG with **tree-adjoining grammars (TAG)** [Koller and Stone (2007)].
- Grammar given in form of finite set of **elementary trees**.
- Problem instance given by grammar, **knowledge base**, and a set of **ground atoms** which the sentence should express.

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.

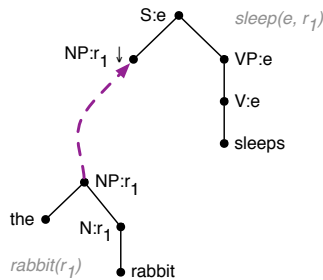


- **Is this a complete sentence derivation?**

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.

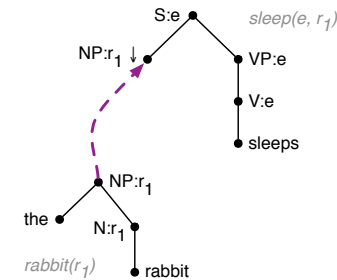


- This is a **substitution** operation (purple dashed arrow in our illustration).
- “N:r₁” stands for a noun-phrase element referring to r_1 , and **can** be used to **adjoin** further trees.

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.

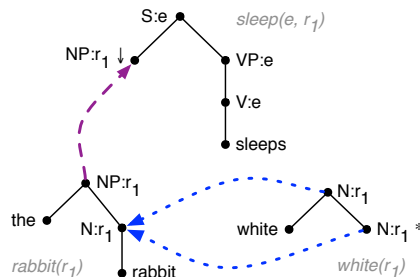


- Is this a complete sentence derivation?
- Does the sentence express the desired meaning?

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.

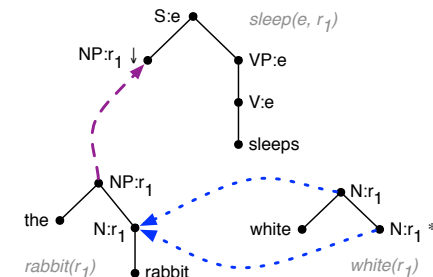


- This is an **adjunction** operation (blue dotted arrow in our illustration).
- “N:r₁” stands for a noun-phrase element referring to r_1 , and **can** be used to **adjoin** further trees.

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.

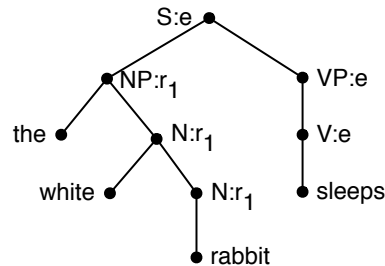


- Is this a complete sentence derivation?
- Does the sentence express the desired meaning?

NLG with TAG: Example, ctd.

Task: Express ground atom $\{sleep(e, r_1)\}$.

Knowledge Base: $\{sleep(e, r_1), rabbit(r_1), white(r_1), rabbit(r_2)\}$.



- The outcome of our substitution and adjunction operations here.
- To obtain the desired sentence, read off the leaves from left to right.

... and now in PDDL!

From [Koller and Hoffmann (2010)], slightly simplified:

sleeps(u, u', x, x'):

pre: $subst(S, u), ref(u, x), sleep(x, x')$
eff: $expressed(sleep, x, x'), \neg subst(S, u),$
 $subst(NP, u'), ref(u', x'),$
 $\forall y. y \neq x' \rightarrow distractor(u', y)$

" u, u' ": nodes in grammar trees

" x ": event

" x' ": sentence subject

rabbit(u', x'):

pre: $subst(NP, u'), ref(u', x'), rabbit(x')$
eff: $\neg subst(NP, u'), canadjoin(N, u'),$
 $\forall y. \neg rabbit(y) \rightarrow \neg distractor(u', y)$

white(u', x'):

pre: $canadjoin(N, u'), ref(u', x'), white(x')$

eff: $\forall y. \neg white(y) \rightarrow \neg distractor(u', y)$

Initial state: $subst(S, u_0), ref(u_0, e), sleep(e, r_1), rabbit(r_1), \dots$

Goal: $expressed(sleep, e, r_1)$

$\forall u \forall x. \neg subst(u, x)$

$\forall u \forall x. \neg distractor(u, x)$

Plan: $\langle sleeps(u_0, u_1, e, r_1), rabbit(u_1, r_1), white(u_1, r_1) \rangle$.

Questionnaire

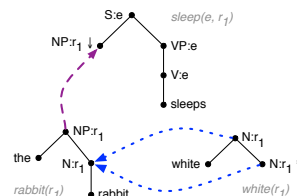
Question!

In the action " $sleeps(u, u', x, x')$ " in our NLG problem instance, what for do we need the effect literal " $\neg subst(S, u)$ "?

- (A): So we don't fall asleep. (B): So the rabbit does not fall asleep.
 (C): To mark the subject of S as being open. (D): To mark S itself as closed.

sleeps(u, u', x, x'):

pre: $subst(S, u), ref(u, x), sleep(x, x')$
eff: $expressed(sleep, x, x'), \neg subst(S, u),$
 $subst(NP, u'), ref(u', x'),$
 $\forall y. y \neq x' \rightarrow distractor(u', y)$



Questionnaire, ctd.

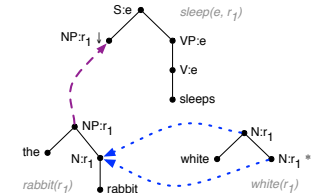
Question!

When we apply the action " $sleeps(u, u', e, r_1)$ " in our NLG problem instance, what does " u' " stand for?

- (A): The verb phrase. (B): The noun phrase.
 (C): The node " $NP:r_1 \downarrow$ " in the verb-phrase tree. (D): The tree representing the noun phrase.

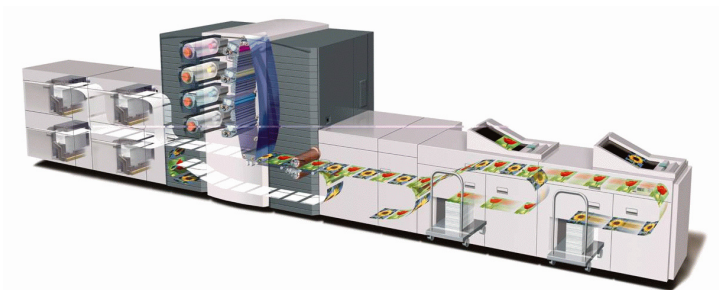
sleeps(u, u', x, x'):

pre: $subst(S, u), ref(u, x), sleep(x, x')$
eff: $expressed(sleep, x, x'), \neg subst(S, u),$
 $subst(NP, u'), ref(u', x'),$
 $\forall y. y \neq x' \rightarrow distractor(u', y)$



Research → FAI BSc/MSc/HiWi; Cooperation CoLi

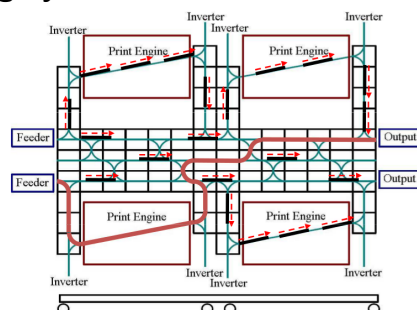
Large-Scale Printing Systems: Complex stuff already ...



- Process blank sheets of paper into anything (book/bill in folded envelope, ...).
- Hundreds of independently controlled processing components.
- Dozens of different processes active at any one time.
- Online problem, new jobs come in as we go.

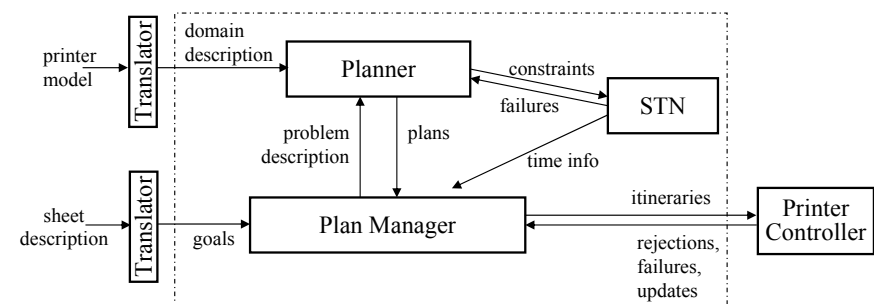
... and now we're making it MUCH worse!

MODULAR Large-Scale Printing Systems:



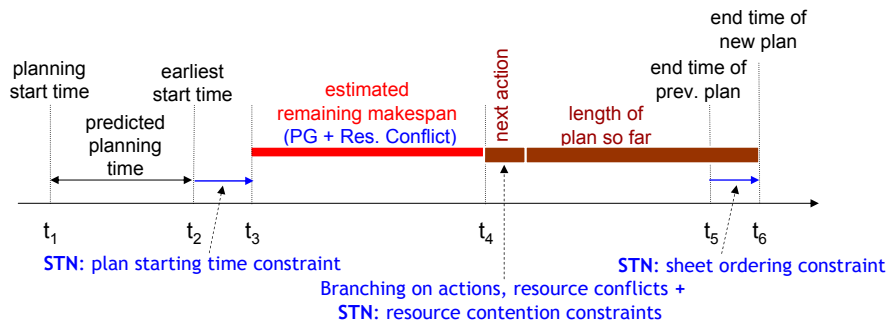
- Assemble and configure components as required by customer.
- No need to buy stuff you don't want, easy to adapt as needed.
- Control can no longer be pre-programmed/configured for a particular machine.
- Requires flexible software that can control anything we could build!

Planning To the Rescue!



- “Planner” as opposed to “Plan Manager”: Finding a solution for the task at any given point in time, vs. managing the updates to the task (new jobs arriving, job cancelled due to paper jam, ...).
- “STN”: Simple Temporal Network. A constraint-based representation of action durations and precedence constraints, identifying unresolvable conflicts.
- The rest should be self-explanatory ...

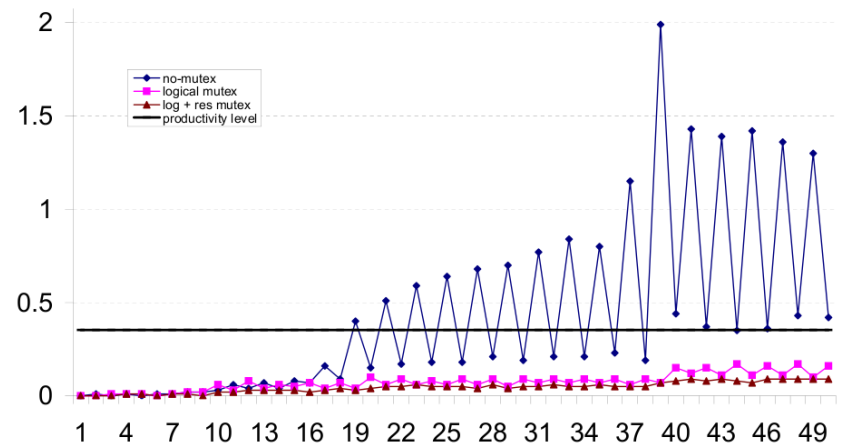
Planning To the Rescue! Ctd.



- Regression search → Chapter 6 using A^* → Chapter 7.
- Heuristic function: A temporal variant of h^2 ("PG" here is for "planning graph") → Chapter 8.
- "Planning start time", "predicted planning time", "plan starting timing constraint", "resource conflicts", "resource contention constraints", "end time of prev./new plan": Relate to online/temporal aspects of domain.

Research → FAI BSc/MSc/HiWi; Cooperation DFKI

Empirical Performance



- x -axis: jobs come in during online processing; y -axis: runtime (seconds) for planning the new job; productivity level: runtime needed for practicability.
- "no mutex": without h^2 heuristic function.
→ h^2 is the key element making this work!

Summary

- Thanks to the efficiency of heuristic search planning techniques, planning is being applied in a broad variety of applications today.
- Simulated penetration testing is used for regular network security checks, and is commercially employed with FF as the underlying planner.
- Natural language generation involves constructing sentences, and can be successfully encoded into PDDL using FF.
- Flexible printer system control is required for large-scale configurable printing systems, and can be successfully tackled using a temporal variant of the planning heuristic h^2 .

Introduction ○○	Simulated Pentesting ○○○○○○○○○○○○○○○○	Language Generation ○○○○○○○○○○○○○○○○	Printer Control ○○○○○○	Conclusion ○○○○○	References
Remarks					

There's quite a range of **further application areas**:

- **Greenhouse logistics** involves moving a series of conveyor belts to cater for the needs of all the plants [Helmert and Lasinger (2010)].
- **Plan recognition** involves observing (some of) the actions of an agent, and inferring what the goal is [Ramírez and Geffner (2009)].
- **Business process management** involves creating, maintaining, and executing complex processes across large enterprises; planning can be used to automatically generate process templates [Hoffmann *et al.* (2012)].
- **Software model checking** involves (amongst others) finding bugs; this can be formulated as finding a plan to an error state [Kupferschmid *et al.* (2006)].

Introduction ○○	Simulated Pentesting ○○○○○○○○○○○○○○○○	Language Generation ○○○○○○○○○○○○○○○○	Printer Control ○○○○○○	Conclusion ○○○○○	References
Reading					

- *Waking Up a Sleeping Rabbit: On Natural-Language Sentence Generation with FF* [Koller and Hoffmann (2010)].

Available at:

<http://fai.cs.uni-saarland.de/hoffmann/papers/icaps10.pdf>

Content: Summarizes the NLG problem based on TAG, and its encoding into PDDL. Gives a compact summary of the problems initially encountered with off-the-shelf FF, and the minor fixes required to get rid of those problems; runs experiments showing the dramatic performance gains obtained this way, making this approach practical. Discusses open issues for planning technology in this domain.

Introduction ○○	Simulated Pentesting ○○○○○○○○○○○○○○○○	Language Generation ○○○○○○○○○○○○○○○○	Printer Control ○○○○○○	Conclusion ○○○○○	References
Reading					

- *Simulated Penetration Testing: From “Dijkstra” to “Turing Test++”* [Hoffmann (2015)].

Available at:

<http://fai.cs.uni-saarland.de/hoffmann/papers/icaps15inv.pdf>

Content: Overview of simulated pentesting models, systematization of framework with respect to possible models of uncertainty, and with respect to the complexity of the action models considered.

Introduction ○○	Simulated Pentesting ○○○○○○○○○○○○○○○○	Language Generation ○○○○○○○○○○○○○○○○	Printer Control ○○○○○○	Conclusion ○○○○○	References
Reading					

- *On-line Planning and Scheduling: An Application to Controlling Modular Printers* [Ruml *et al.* (2011)].

Available at:

<http://www.jair.org/media/3184/live-3184-5462-jair.pdf>

Content: Comprehensive and detailed description of the application context, the configuration of planning and scheduling techniques used, and the added value obtained in doing so.

For a shorter introduction of this application, refer to [Ruml *et al.* (2005)] available at:

<http://www.cs.unh.edu/~ruml/papers/icaps-05-revised-1.pdf>

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