

# AI Planning

## 18. Partial-Order Reduction

Which Should I Do First, the Right Shoe or the Left Shoe?

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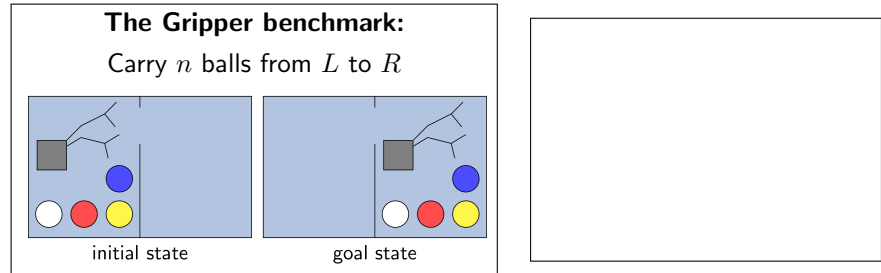
Thanks to Prof. Jörg Hoffmann for slide sources

# Agenda

- 1 Introduction
- 2 Action-Pruning Functions
- 3 Strong Stubborn Sets: Ingredients
- 4 Strong Stubborn Sets: Theory
- 5 Strong Stubborn Sets: Practice
- 6 What about STRIPS?
- 7 Conclusion

# The Pitfalls of Optimal Heuristic Search

# The Pitfalls of Optimal Heuristic Search: Example Gripper



**Proposition.** Let  $\Pi_n$  be the Gripper task with  $n$  balls. Then  $N^1(\Pi_n)$  grows exponentially in  $n$ .

**Proof sketch.**

→ In other words: What's killing us here are **plan permutations**.

## Pruning Methods

→ To the rescue: Optimality-preserving pruning methods.

- **State Pruning:** Reduces search effort by cross-state comparisons. Prunes states whose exploration can be shown to be unnecessary.
- **Action Pruning:** Reduces search effort by analyzing applicable actions. Prunes actions whose exploration can be shown to be unnecessary.

We cover 3 different pruning methods:

- 1 **Partial-order reduction:** Action Pruning. → **This Chapter**
- 2 **Dominance pruning:** State Pruning. → **Next Chapter**
- 3 **Symmetry reduction:** State Pruning. → **Chapter 20**

## The Right Shoe or the Left Shoe?

→ To the rescue: Optimality-preserving pruning methods.

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We cover 3 different pruning methods:

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## Partial-Order Reduction (POR) Methods: Overview

→ **Partial-order reduction (POR)** methods identify, and prune, permutable parts of the search space.

→ They do so via action pruning (cf. slide 6).

There are different kinds of POR methods:

- **Transition-reduction methods:** Prune applicable actions while preserving the reachable state space.
  - **Sleep Sets, Ample Sets**, etc. Not considered here (useful mainly in depth-first search algorithms).
- **State-reduction methods:** Prune applicable actions while preserving at least one optimal solution.
  - **Strong Stubborn Sets (S3)**. → **This Chapter**

## Our Agenda for This Chapter

- 2 **Action-Pruning Functions:** We define and briefly analyze what an action-pruning function is, and when such pruning is safe.
- 3 **Strong Stubborn Sets: Ingredients:** The strong stubborn sets technique (and POR more generally) relies on a number of basic concepts, that we introduce here.
- 4 **Strong Stubborn Sets: Theory:** We define what a strong stubborn set is, and we prove safety as an action-pruning function.
- 5 **Strong Stubborn Sets: Practice:** We consider how to operationalize the definition.
- 6 **What about STRIPS?** In the above, our definitions are agnostic to STRIPS/FDR where it doesn't matter; where it does matter, we use FDR. Here we explain that very little changes for STRIPS.

# Questionnaire

# Action-Pruning Functions

**Reminder:** Given a task  $\Pi$  with actions  $A$ , and a state  $s$ ,  $A[s] := \{a \mid a \in A, pre_a \subseteq s\}$  denotes the actions applicable in  $s$ .

**Definition (Action-Pruning Function).** Let  $\Pi$  be a planning task with states  $S$ . An *action-pruning function* for  $\Pi$  is a function  $\rho : S \mapsto 2^A$  such that, for all  $s \in S$ , we have  $\rho(s) \subseteq A[s]$ .

→ An action-pruning function  $\rho$  returns, for each state  $s$ , a subset  $\rho(s)$  of the applicable actions.

**Definition (Pruned State Space).** Let  $\Pi$  be a planning task, and let  $\Theta = (S, L, c, T, I, S^G)$  be the state space of  $\Pi$ . Let  $\rho$  be an action-pruning function for  $\Pi$ . Then the *pruned state space*, denoted  $\Theta_\rho$ , is defined like  $\Theta$  but reducing  $T$  to those  $s \xrightarrow{a} s'$  where  $a \in \rho(s)$ .

→ The actions outside  $\rho(s)$  are pruned.

# Safe Action-Pruning Functions

**Definition (Safe  $\rho$ ).** Let  $\Pi$  be a planning task with state space  $\Theta = (S, L, c, T, I, S^G)$ , and let  $\rho$  be an action-pruning function for  $\Pi$ . We say that  $\rho$  is *safe* if, for all  $s \in S$ , the cost of an optimal solution for  $s$  in  $\Theta_\rho$  equals  $h^*(s)$ .

→ A safe action-pruning function  $\rho$  preserves optimality.

**Proposition.** Let  $\Pi$  be a planning task with states  $S$ , and let  $\rho$  be an action-pruning function for  $\Pi$ . If, for every solvable non-goal  $s \in S$ ,  $\rho(s)$  contains at least one action starting a shortest optimal plan for  $s$ , then  $\rho$  is safe.

**Proof.** By induction on the length  $n$  of a shortest optimal plan for  $s$ . Base case  $n = 1$ : Direct from definition. Inductive case  $n \rightarrow n + 1$ : The first action  $a$  of a shortest optimal plan for  $s$  is preserved. Say the transition is  $s \xrightarrow{a} s'$ . Then the shortest optimal plan for  $s'$  is shorter than that for  $s$ , so the claim follows by induction hypothesis.

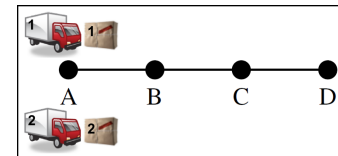
→ Why “shortest”? We may bother you with an exercise.

→ What about unsolvable  $s$ ?

# Before We Begin . . .

**Ingredients? Action dependencies.**

- How actions affect each other’s applicability and/or outcome state.
- We define this semantically here. For practice, we will later define syntactic characterizations.



**Illustrative example: “1/2-Log”**

- $V$ :  $truck_1, truck_2 : \{A, B, C, D\}$ ;  $pack_1, pack_2 : \{A, B, C, D, T_1, T_2\}$ .
- $I$ :  $truck_1, truck_2, pack_1, pack_2 = A$ .  $G$ :  $pack_1, pack_2 = D$ .
- $A$ :  $drive(i, x, y)$  (for  $x \neq y$  neighbors): pre  $truck_i = x$ , effect  $truck_i = y$   
 $load(i, x)$ : pre  $pack_i = x, truck_i = x$ , effect  $pack_i = T_i$   
 $unload(i, x)$ : pre  $pack_i = T_i, truck_i = x$ , effect  $pack_i = x$   
 → **Note: Package  $i$  load/unload only with truck  $i$ !**
- “1/2-Tele-Log”:  $teleport(i, y)$ : pre empty, effect  $truck_i = y$

## Action Pairs: Enabling, Disabling, Conflict

**Definition (Action Pair Dependencies).** Let  $\Pi$  be a planning task with actions  $A$  and states  $S$ . Let  $a_1 \neq a_2 \in A$  and  $s \in S$ . We say that:

- (i)  $a_1$  **enables**  $a_2$  in  $s$  if  $a_1 \in A[s]$  and  $a_2 \notin A[s]$ ; but  $a_2 \in A[s[a_1]]$ .
- (ii)  $a_1$  **disables**  $a_2$  in  $s$  if  $a_1 \in A[s]$  and  $a_2 \in A[s]$ ; but  $a_2 \notin A[s[a_1]]$ .
- (iii)  $a_1$  and  $a_2$  **conflict** in  $s$  if  $a_1 \in A[s]$ ,  $a_2 \in A[s]$ ,  $a_1 \in A[s[a_2]]$ , and  $a_2 \in A[s[a_1]]$ ; but  $s[\langle a_1, a_2 \rangle] \neq s[\langle a_2, a_1 \rangle]$ .

**Example:** “1/2-Tele-Log”

- (i) Example  $a_1, a_2, s$ ?
- (ii) Example  $a_1, a_2, s$ ?
- (iii) Example  $a_1, a_2, s$ ?

**Note:**

## Action Pairs: Interfering, Commutative

**Definition (Action Pair Dependencies, ctd.).** Let  $\Pi$  be a planning task with actions  $A$  and states  $S$ . Let  $a_1 \neq a_2 \in A$ . We say that:

- (iv)  $a_1$  and  $a_2$  **interfere** if there exists  $s \in S$  such that  $a_1$  and  $a_2$  either conflict in  $s$ , or one disables the other in  $s$ .
- (v)  $a_1$  and  $a_2$  are **commutative** if they do not interfere, and neither enables the other in any  $s \in S$ .

**Example:** “1/2-Tele-Log”

- (iv) Example  $a_1, a_2$ :  $drive(1, A, B)$  interferes with  $load(1, A)$  and  $teleport(1, B)$  interferes with  $teleport(1, C)$ , see previous slide.
- (v) Example  $a_1, a_2$ ?

## Necessary Enabling Sets

**Definition (Necessary Enabling Set).** Let  $\Pi$  be a planning task with actions  $A$ , goal  $G$ , and states  $S$ .

Given  $a \in A$  and  $s \in S$  where  $a \notin A(s)$  (i.e.,  $pre_a \not\subseteq s$ ), a **necessary enabling set for  $a$  in  $s$**  is a set  $A_{s \rightarrow a} \subseteq A$  of actions so that for every action sequence  $\langle a_1, \dots, a_n \rangle$  applicable in  $s$ , if  $a_i = a$  then  $\{a_1, \dots, a_{i-1}\} \cap A_{s \rightarrow a} \neq \emptyset$ .

Given  $s \in S$  where  $G \not\subseteq s$ , a **necessary enabling set for  $G$  in  $s$**  is a set  $A_{s \rightarrow G} \subseteq A$  of actions so that for every action sequence  $\langle a_1, \dots, a_n \rangle$  applicable in  $s$  that achieves  $G$ ,  $\{a_1, \dots, a_n\} \cap A_{s \rightarrow G} \neq \emptyset$ .

→ A necessary enabling set is a set of actions at least one of which must be applied to enable an action  $a$ /the goal  $G$ .

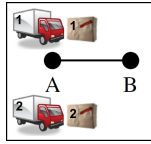
**Example:** “1/2-Tele-Log”

- Example  $s, a, A_{s \rightarrow a}$ :  $I$ ;  $drive(1, B, C)$ ;  $\{drive(1, A, B), teleport(1, B)\}$ .
- Example  $s, A_{s \rightarrow G}$ :  $I$ ;  $\{unload(1, D)\}$  or  $\{load(1, A)\}$  or  $\{drive(1, C, D), teleport(1, D)\}$ .

## Questionnaire

## Strong Stubborn Sets: Intuition

Example: "1/2-Log Small"



- $V$ :  $truck_1, truck_2, pack_1, pack_2$ .
- $I$ : As shown.
- $G$ :  $pack_1 = B, pack_2 = B$ .
- $A$ :  $drive(i, x, y), load(i, x), unload(i, x)$ .

## Strong Stubborn Sets are Safe

Reminder:  $\rho$  is safe if, for all states  $s$ , pruning using  $\rho$  preserves  $h^*(s)$ .

Theorem (S3 Pruning Safety). Let  $\Pi$  be a planning task, and let  $\rho_{S3}$  be an S3 pruning function. Then  $\rho_{S3}$  is safe.

## Strong Stubborn Sets (S3)

**Definition (Strong Stubborn Sets).** Let  $\Pi$  be a planning task with actions  $A$ , goal  $G$ , and states  $S$ . Let  $s \in S$  be a non-goal state. A **strong stubborn set** for  $s$  is a set  $A_{S3} \subseteq A$  of actions such that:

- (i)  $A_{S3}$  contains a **necessary enabling set** for  $G$  in  $s$ ;
- (ii) For every  $a \in A_{S3} \setminus A[s]$ ,  $A_{S3}$  contains a **necessary enabling set** for  $a$  in  $s$ ; and
- (iii) For every  $a \in A_{S3} \cap A[s]$ ,  $A_{S3}$  contains all  $a' \in A$  that interfere with  $a$ .

**Definition (S3 Pruning).** Let  $\Pi$  be a planning task with states  $S$ . An action-pruning function  $\rho_{S3}$  for  $\Pi$  is called an **S3 pruning function** if, for every non-goal state  $s \in S$ , there exists a strong stubborn set  $A_{S3}$  for  $s$  so that  $\rho_{S3}(s) = A(s) \cap A_{S3}$ .

## Strong Stubborn Sets are Safe, ctd.

# Strong Stubborn Sets are Safe, ctd.

# Questionnaire

**Reminder:** An S3 for  $s$  is a set  $A_{S3} \subseteq A$  of actions such that:

- ⓪  $A_{S3}$  contains a **necessary enabling set** for  $G$  in  $s$ ;
- ⓑ For every  $a \in A_{S3} \setminus A[s]$ ,  $A_{S3}$  contains a **necessary enabling set** for  $a$  in  $s$ ; and
- ⓒ For every  $a \in A_{S3} \cap A[s]$ ,  $A_{S3}$  contains all  $a' \in A$  that **interfere** with  $a$ .

## Question!

**Do strong stubborn sets have anything to do with commutative actions?**

- (A): Yes (B): No

# The S3 Definition as an Algorithm (compare slide 22)

```

input: Planning task  $\Pi$ , state  $s$ .
output: Strong stubborn set  $S3$  for  $s$ .
(i)  $S3 := A_{s \rightarrow *G}$  /* a necessary enabling set for  $G$  in  $s$  */
 $Done := \emptyset$  /* actions already processed */
while  $S3 \not\subseteq Done$  do
  select  $a \in S3 \setminus Done$ 
  if  $a \notin A[s]$  then
    (ii)  $S3 := S3 \cup A_{s \rightarrow *a}$  /* a necessary enabling set for  $a$  in  $s$  */
  else
    (iii)  $S3 := S3 \cup \{a' \mid a \text{ and } a' \text{ interfere}\}$ 
   $Done := Done \cup \{a\}$ 
return  $S3$ 
    
```

# The S3 Definition as an Algorithm (compare slide 22)

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output: Strong stubborn set  $S3$  for  $s$ .
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  if  $a \notin A[s]$  then
    (ii)  $S3 := S3 \cup A_{s \rightarrow *a}$  /* a necessary enabling set for  $a$  in  $s$  */
  else
    (iii)  $S3 := S3 \cup \{a' \mid a \text{ and } a' \text{ interfere}\}$ 
   $Done := Done \cup \{a\}$ 
return  $S3$ 
    
```

**How to operationalize this?**

- ① How to find the interfering actions?
- ② How to find the necessary enabling sets?

→ Syntactic approximation/characterization of these semantic definitions.

## Interference: Syntactic Characterization, Part 1

**Terminology:** In an FDR task, say that partial assignments  $p$  and  $q$  **agree** if  $p(v) = q(v)$  for all  $v \in V[p] \cap V[q]$ , and say that  $p$  and  $q$  **disagree** otherwise.

**Reminder:**  $a_1$  **disables**  $a_2$  in  $s$  if both are applicable in  $s$  but  $a_2$  is no longer applicable after applying  $a_1$ .

**Proposition.** Let  $\Pi = (V, A, c, I, G)$  be an FDR planning task with states  $S$ . Let  $a_1, a_2 \in A$ . Then **there exists**  $s \in S$  s.t.  $a_1$  **disables**  $a_2$  in  $s$  if and only if (i)  $pre_{a_1}$  and  $pre_{a_2}$  **agree**, and (ii)  $eff_{a_1}$  and  $pre_{a_2}$  **disagree**.

## Necessary Enabling Sets: Syntactic Characterization?

## Interference: Syntactic Characterization, Part 2

**Reminder:**  $a_1$  and  $a_2$  **conflict** in  $s$  iff they can be applied in both possible orders, but the outcome state differs depending on the order.

**Proposition.** Let  $\Pi = (V, A, c, I, G)$  be an FDR planning task with states  $S$ . Let  $a_1, a_2 \in A$ . Then **there exists**  $s \in S$  s.t.  $a_1$  and  $a_2$  **conflict** in  $s$  if and only if (i)  $pre_{a_1}$  and  $pre_{a_2}$  **agree**, (ii)  $eff_{a_1}$  and  $pre_{a_2}$  **agree**, (iii)  $eff_{a_2}$  and  $pre_{a_1}$  **agree**, and (iv)  $eff_{a_1}$  and  $eff_{a_2}$  **disagree**.

**Done, because (reminder):**  $a_1$  and  $a_2$  **interfere** if there exists  $s \in S$  such that  $a_1$  and  $a_2$  either **conflict** in  $s$ , or one **disables** the other in  $s$ .

## Necessary Enabling Sets: Choosing an Open Subgoal

**Reminder:**  $p \in pre_a \setminus s$  or  $p \in G \setminus s$ ;  $A' := \{a' \mid p \in eff_{a'}\}$

→ **But which  $p$  should we select?**

**Answer given by [Wehrle and Helmert (2014)]:**

- Across the computation of S3 for different states, it is preferable to select the same facts  $p$  as much as possible.
- Static strategy: Fix an ordering over the FDR state variables (or, in STRIPS, over the facts), and always select the first  $p$  in this order.
- Dynamic strategy: Where the choice depends on  $s$  and the actions that have already been included into S3. For example, select  $p$  minimizing the number of new actions added to S3.

**BTW: Necessary enabling set = “disjunctive action landmark”**

- A key concept we will introduce for landmark heuristics in **Chapter 15**.
- There, we will also see more advanced methods for finding such landmarks.

## Necessary Enabling Sets: The Choice Makes a Difference!

## Strong Stubborn Sets in STRIPS

## Summary

- Exponential blow-ups may occur in optimal search even with **almost perfect** heuristic functions  $h$ .
- Optimality-preserving **pruning methods** reduce search by means orthogonal to  $h$ , through **state pruning** or **action pruning**.
- Partial-order reduction (POR)** is a family of action pruning methods targeting permutable parts of the search space, arising from **commutative actions**.
- Commutative actions occur frequently in planning: actions which neither **interfere** nor **enable** each other, and that can hence be applied in any order giving the same result.
- Strong stubborn sets (S3)** is a POR technique that can reduce the reachable state space, avoiding the generation of states that would otherwise be reachable.
- A strong stubborn set  $S3$  for a state  $s$  contains a **necessary enabling set** for  $G$ , necessary enabling sets for  $pre_a$  where  $a \in S3 \setminus A[s]$ , and interfering actions for  $a \in S3 \cap A[s]$ .

## Reading

- About Partial Order Reduction in Planning and Computer Aided Verification* [Wehrle and Helmert (2012)].

Available at:

<http://ai.cs.unibas.ch/papers/wehrle-helmert-icaps2012.pdf>

**Content:** Introduces, to planning, two partial-order reduction methods originally defined for model-checking: stubborn sets and sleep sets. Discusses their relation with other pruning methods previously proposed in planning.



## Reading, ctd.

- *Efficient Stubborn Sets: Generalized Algorithms and Selection Strategies* [Wehrle and Helmert (2014)].

Available at:

<http://ai.cs.unibas.ch/papers/wehrle-helmert-icaps2014.pdf>

**Content:** More general definition of the strong stubborn sets technique, and empirical comparison of different strategies to find strong stubborn sets.

## References I

Martin Wehrle and Malte Helmert. About partial order reduction in planning and computer aided verification. In Blai Bonet, Lee McCluskey, José Reinaldo Silva, and Brian Williams, editors, *Proceedings of the 22nd International Conference on Automated Planning and Scheduling (ICAPS'12)*. AAAI Press, 2012.

Martin Wehrle and Malte Helmert. Efficient stubborn sets: Generalized algorithms and selection strategies. In Steve Chien, Minh Do, Alan Fern, and Wheeler Ruml, editors, *Proceedings of the 24th International Conference on Automated Planning and Scheduling (ICAPS'14)*. AAAI Press, 2014.